

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



March 30, 2023

Jonathan Morse
Sr. Manager Rates & Regulatory
California-American Water Company
520 Capitol Mall Ste. 630
Sacramento, CA 95814

Dear Mr. Morse,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 72-S, filed on November 15, 2022, regarding 2023 Step Rate for the Monterey Wastewater district.

Enclosed are copies of the following revised tariff sheets, effective January 1, 2023, for the utility's files:

P.U.C.	
Sheet No.	Title of Sheet
335-S	Schedule SA, Monterey Waste Water District Tariff Area Active Sewer Service, Sheet 1
336-S	Schedule SA, Monterey Waste Water District Tariff Area Active Sewer Service, Sheet 3
337-S	Schedule SP, Monterey Waste Water District Tariff Area Passive Sewer Service, Sheet 1
338-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 1
339-S	Schedule No. WW-FEES, Wastewater Service Late Payment Fees, Reconnection Fees, And Facilities Fees, Sheet 1
340-S	Table Of Contents, Sheet 1

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you.

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: California American Water	Date Mailed to Service List: November 15, 2022
District: Monterey Wastewater	
CPUC Utility #: U210W	Protest Deadline (20th Day): December 5, 2022
Advice Letter #: 72-S	Review Deadline (30th Day): December 15, 2022
Tier <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance	Requested Effective Date: January 1, 2023
Authorization Decision 21-11-018	
Description: 2023 Step Rate – Monterey Wastewater	Rate Impact: \$See AL See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Chase Grady

Phone:

Email: chase.grady@amwater.com

Utility Contact: Jonathan Morse

Phone: 916-568-4237

Email: Jonathan.morse@amwater.com

DWA Contact: Tariff Unit

Phone: (415) 703-1133

Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

DATE

STAFF

COMMENTS

APPROVED

WITHDRAWN

REJECTED

Signature: _____

Comments: _____

Date: _____



November 15, 2022

ADVICE LETTER NO. 72-S

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Pursuant to General Order 96-B, California-American Water Company ("California American Water") (U210W) hereby submits for review this advice letter, including tariff sheets applicable to California American Water's Monterey Wastewater Service Area.

Background:

The purpose of this advice letter filing is to request approval of the 2023 Escalation Year rates for the Monterey Wastewater Service Area in accordance with Decision (D.) 21-11-018. This advice letter requests implemented rates for escalation year 2023 be made effective and implemented in accordance with California Public Utilities Commission (Commission) Decision (D.) 21-11-018.

Additionally, this filing provides the updated Customer Assistance Program (CAP) surcharge contains on Schedule WW-FEEs applicable to all service areas.

Discussion:

Submission of these tariffs is made in compliance with Ordering Paragraphs 3, 4, 5, and 6 of D.21-11-018, dated November 18, 2021, which states:

3. *No later than 30 days following the issuance of this Decision, California American Water Company (Cal-Am) shall file its Tier 1 General Rate Case implementation advice letter, including updated tariffs as necessary to reflect all of the agreements related to capital expenditures and projects in the Los Angeles County, San Diego County, Ventura County, Central Division, Monterey County Wastewater, Sacramento County, and Larkfield Districts reached between the Public Advocates Office of the California Public Utilities Commission; the Cities of Duarte, San Marino, and Thousand Oaks; the Las Palmas Wastewater Committee; the Monterey Peninsula Water Management District; and Cal-Am. Given the timing of the issuance of the decision, the 2021 authorized rates and tariff changes shall be implemented concurrently with California American Water's escalation filing for attrition year 2022.*
4. *California-American Water Company (Cal-Am) is authorized to revise tariff schedules and to concurrently cancel its present schedules for such service upon the effective date of its 2022 escalation filing. The revision of tariff schedules for authorized rates in 2021 shall be included and subsumed in Cal-Am's escalation filing for attrition year 2022.*
5. *Decision 07-05-062 requires escalation filings to be filed no later than 45 days prior to the start of the escalation year. In light of the effective date of this decision, California-American Water Company shall submit its 2022 escalation advice letters*

within 60 days from the effective date of this decision. The 2022 escalation advice letters shall be effective 45 days from the date of filing.

6. *California-American Water Company shall submit its 2023 escalation advice letters no later than 45 days prior to their effective date on January 1, 2023.*

California American Water filed Advice Letter 1353 in compliance with the first part of ordering paragraph three above and Advice Letters 1356, 1357, 1358, 69-S, and 70-S in compliance with ordering paragraphs 3, 4, and 5. Through this filing, California American Water complies with ordering paragraph 6 above.

Requests:

This advice letter filing is to request implementation of the Monterey Wastewater Service Area, including Active and Passive Systems, 2023 Escalation Year increase.

As shown the earnings test and workpapers for the twelve months ended September 30, 2022, the Monterey Wastewater Service Area earned a rate of return of -9.23% on a recorded basis and 7.42% on a “pro forma” basis. The most recent authorized rate of return per D.18-03-035 was 7.61% adjusted to 7.83% to match the designated pro forma period.

In compliance with D.21-11-018, California American Water requests approval of all submitted tariff revisions.

Effective Date:

California American Water requests an effective date of January 1, 2023.

Tier Designation:

California American Water submits this as a Tier 1 advice letter pursuant to General Order No. 96-B.

Notice:

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being provided to those entities listed in the attached “SERVICE LIST PURSUANT TO SECTION 4.3 OF G.O. NO. 96-B.” Per guidance from the California Public Utilities Commission’s Water Division, during the COVID-19 pandemic, advice letters will only be delivered electronically to the service list.

Protests and Responses:

Anyone may respond to or protest this advice letter. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A **protest** objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds¹ are:

1. The utility did not properly serve or give notice of the AL;

¹ G.O. 96-B, General Rule 7.4.2

2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

In the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Email Address:

chase.grady@amwater.com

sarah.leeper@amwater.com

ca.rates@amwater.com

Mailing Address:

520 Capital Mall, Suite 630
Sacramento, CA 95814
Fax: (916) 568-4277

555 Montgomery Street, Suite 816
San Francisco, CA 94111

520 Capital Mall, Suite 630
Sacramento, CA 95814
Fax: (916) 568-4277

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

If you have not received a reply to your protest within 10 business days, please contact Jonathan Morse at (916) 568-4237.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Chase Grady

Chase Grady
Associate Rates & Regulatory Analyst

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
335-S	Schedule SA Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Sheet 1	317-S
336-S	Schedule SA Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Sheet 3	318-S
337-S	Schedule SP Monterey Waste Water District Tariff Area PASSIVE SEWER SERVICE Sheet 1	319-S
338-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 1	320-S
339-S	Schedule No. WW-FEES Wastewater Service LATE PAYMENT FEES, RECONNECTION FEES, AND FACILITIES FEES Sheet 1	321-S
340-S	TABLE OF CONTENTS Sheet 1	334-S

Schedule SA
 Monterey Waste Water District Tariff Area
ACTIVE SEWER SERVICE

Sheet 1

APPLICABILITY

Applicable to all Active Sewer Services.

TERRITORY

The systems at Las Palmas, Pasadera, Carmel Valley Ranch and Indian Springs

RATES

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	(l)	
Service Charge Residential.....	\$133.32		
Service Charge for School (5 EDUs)	\$666.65		
Service Charge for Small Office Park (6 EDUs)	\$800.01		
Service Charge for Golf Course (4 EDUs)	\$533.34		
 <u>Pasadera:</u>			
Service Charge Residential.....	\$133.32		
 <u>Carmel Valley Ranch:</u>			
Service Charge Residential.....	\$133.32		
Service Charge for Hotel (144 EDUs)	\$19,200.05		
 <u>Indian Springs:</u>			
Service Charge for Residential and Small Commercial	\$133.32	(l)	

SPECIAL CONDITIONS

General Items:

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.

1. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges:

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.

2. A late charge will be imposed per Schedule WW-FEES.

2. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.

3. Per Advice letter 69-S, the under-collected balance in the Monterey Wastewater Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.3534 over 24 months beginning March 4, 2022.

(Continued)

(TO BE INSERTED BY UTILITY) Advice 72-S Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>11/15/2022</u> Effective <u>01/01/2023</u> Resolution _____
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Schedule SA
Monterey Waste Water District Tariff Area
ACTIVE SEWER SERVICE

Sheet 3

APPLICABILITY

Applicable to the Society for the Prevention of Cruelty to Animals for Monterey County, Inc. ("SPCA") Sewer Service in the Pasadera area.

TERRITORY

The point of service connection between California American Water and SPCA in the Pasadera area.

RATES

<u>Contracted Property:</u>	<u>Service Charge per Month</u>	
SPCA (25 EDUs).....	\$3,333.10	(I)

SPECIAL CONDITIONS

General Items:

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
2. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges:

3. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
4. A late charge will be imposed per Schedule WW-FEES.
5. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.
6. Per Advice Letter 69-S, the under-collected balance in the Monterey Wastewater Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.3534 over 24 months beginning March 4, 2022.

(Continued)

<u>(TO BE INSERTED BY UTILITY)</u>		<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>	
Advice	72-S	J. T. LINAM	Date Filed	<u>11/15/2022</u>
Decision		DIRECTOR - Rates & Regulatory	Effective	<u>01/01/2023</u>
			Resolution	_____

Schedule SP
 Monterey Waste Water District Tariff Area
PASSIVE SEWER SERVICE

Sheet 1

APPLICABILITY

Applicable to all Passive Sewer Services.

TERRITORY

The systems at White Oaks, Spreckels, Village Green and Oak Hills

RATES

<u>Oak Hills:</u>		<u>Per Service Per Month</u>
Service Charge for Residential and Small Commercial		\$88.71

(I)

<u>Spreckels:</u>		
Service Charge for Residential and Small Commercial		\$88.71
Service Charge for School		\$133.05
Service Charge for Large Commercial (4 or more Employees)		\$177.41
Service Charge for Industrial		\$532.25

<u>White Oaks:</u>		<u>Per Service Per Month</u>
Service Charge for Residential		\$88.71

<u>Village Green:</u>		
Service Charge for Residential		\$88.71

(I)

SPECIAL CONDITIONS

General Items

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
2. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. A late charge will be imposed per Schedule WW-FEES.
3. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.
4. Per Advice Letter 69-S, the under-collected balance in the Monterey Wastewater Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.3534 over 24 months beginning March 4, 2022.

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 72-S

J. T. LINAM

Date Filed 11/15/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 01/01/2023

Resolution _____

Schedule No. WW-CAP
All Wastewater Services
CUSTOMER ASSISTANCE PROGRAM

Sheet 1

APPLICABILITY

Applicable to Monterey County - Active and Passive Sewer Services.

TERRITORY

The Monterey County Systems of Las Palmas, Pasadera, Carmel Valley Ranch, Indian Springs, White Oaks, Spreckels, Village Greens, and Oak Hills.

RATES

Active Sewer Service

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	
Service Charge Residential.....	\$86.66	(l)
<u>Pasadera:</u>		
Service Charge Residential.....	\$86.66	
<u>Carmel Valley Ranch:</u>		
Service Charge Residential.....	\$86.66	
<u>Indian Springs:</u>		
Service Charge for Residential	\$86.66	(l)

Passive Sewer Service

<u>Oak Hills:</u>	<u>Per Service Per Month</u>	
Service Charge for Residential.....	\$70.97	(l)
<u>Spreckels:</u>		
Service Charge for Residential	\$70.97	
<u>White Oaks:</u>		
Service Charge for Residential	\$70.97	
<u>Village Greens:</u>		
Service Charge for Residential.....	\$70.97	(l)

(Continued)

(TO BE INSERTED BY UTILITY)
Advice 72-S
Decision

ISSUED BY
J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)
Date Filed 11/15/2022
Effective 01/01/2023
Resolution _____

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Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

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Service Area Maps	6-S, 64-S, 65-S, 8-S, 57-S, 58-S, 9-S, 59-S, 194-S	
<u>Rate Schedules:</u>		
Schedule No. SA – Active Sewer Service	335-S, 304-S, 336-S, 331-S	(C)
Schedule No. SP – Passive Sewer Service	337-S, 332-S	(C)
Schedule No. UF	212-S	
Schedule No. WW-CAP	338-S, 324-S, 325-S, 326-S, 327-S, 328-S	(C)
Schedule No. WW-DU	323-S, 333-S	
Schedule No. WW-Fees	339-S	(C)
<u>FEES, CONTRACTS & DEVIATIONS</u>		
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No. 3 Application for Service	14-S	
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No. 7 Deposits	20-S	
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No. 19 Service to Separate Premises and Multiple Units and Resale of Sewer Service	42-S, 43-S, 44-S, 45-S	
No. 20 Limitation on Wastes Discharged into the Utility’s Sewer System	46-S	
No. 21 Commercial, Institutional and Industrial Wastes	47-S	
No. 22 The Military Family Financial Relief Act	48-S	
	74-S, 75-S	

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 72-S
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 11/15/2022
Effective 01/01/2023
Resolution _____

MONTEREY WASTEWATER DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY

BY MAIL:

Joe Lucido 25417 Boots Road Monterey, CA 93940	Los Angeles Docket Office California Public Utilities Commission 320 West 4th Street, Suite 500 Los Angeles, CA 90013	
Alco Water Service 249 Williams Road Salinas, CA 93901	Monterey Regional Water Pollution Control Agency (MRWPCA) 5 Harris Court Road. Bldg D. Monterey, CA 93940	Monterey Peninsula Water Mgmt Dist. Chief Financial Officer P.O. Box 85 Monterey, CA 93942
Yazdan Emrani, P.E. Deputy Pub Works Director – Operations Monterey County DPW 168 W. Alisal Street, 2nd floor Salinas, CA 93901-2680	City of Pacific Grove c/o Community Development Department Attention: Sarah Hardgrave 300 Forest Ave., 2nd floor Pacific Grove, CA 93950	City of Pacific Grove City Attorney/City Hall 300 Forest Ave 2nd floor Pacific Grove, CA 93950
Ann Camel City Clerk City of Salinas 200 Lincoln Avenue Salinas, CA 93901	City of Sand City City Hall California & Sylvan Avenues Sand City, CA 93955 Attn: City Clerk	Deborah Mall, City Attorney City of Monterey 512 Pierce Street Monterey, CA 93940
Karen Crouch City Clerk, Carmel-By-The-Sea PO Box CC Carmel-by-the-Sea, CA 93921	Darryl D. Kenyon Monterey Commercial Property Owners Association P.O. Box 1953 Monterey, CA 93942	Irvin L. Grant Deputy County Counsel County of Monterey 168 W. Alisal Street, 3rd floor Salinas, CA 93901-2680
Marc J. Del Piero 4062 El Bosque Drive Pebble Beach, CA 93953-3011	Vibeke Norgaard City Attorney of Sand City P.O. Box 183 Carmel, CA 93921	

MONTEREY WASTEWATER DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY

BY E-MAIL:

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