

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



March 14, 2016

Jeffrey T. Linam
Director – Rates & Regulation
California-American Water Company
4701 Beloit Dr.
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California American Water Company's Advice Letter No. 1107-A (Supplement to Advice Letter No. 1107), filed on March 3, 2016, regarding the 2015 Interim Rate True-Up in the Larkfield District that was submitted in accordance with D.15-04-007.

Enclosed are copies of the following revised tariff sheets for the utility's files:

<u>P.U.C. Sheet No.</u>	<u>Title of Sheet</u>
8180-W	Schedule No. LK-1, Larkfield District Tariff Area, General Metered Service
8181-W	Schedule No. CA-4, California American Water, Private Fire Protection Service
8182-W	Table of Contents, Page 2
8183-W	Table of Contents, Page 1

Please contact Jocelyn Wong at (415) 703-2144 if you have any questions.

Thank you,

A handwritten signature in black ink, appearing to read "Jennifer Perez", written over a horizontal line.

Jennifer Perez
Water & Sewer Advisory Branch
Division of Water and Audits

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

SUPPLEMENT

Utility Name: California American Water
District: Larkfield District
CPUC Utility #: U210W
Advice Letter #: 1107-A
Tier 1 2 3 Compliance
Authorization D.15-04-007
Description: 2015 Interim Rate True-Up

Date Mailed to Service List: March 3, 2016
Protest Deadline (20th Day): March 23, 2016
Review Deadline (30th Day): April 2, 2016
Requested Effective Date: February 1, 2016
Rate Impact: \$See AL
See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Nancy Hollingsworth
Phone: 916-568-4209
Email: nancy.hollingsworth@amwater.com

Utility Contact: Todd Pray
Phone: 916-568-4232
Email: todd.pray@amwater.com

DWA Contact: Tariff Unit
Phone: (415) 703-1133
Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

Signature: _____
Date: _____

Comments: _____



4701 Beloit Drive P (916)-568-4251
 Sacramento, CA 95838 F (916) 568-4260
 www.amwater.com

FILED
MAR - 3 2016
 PUBLIC UTILITIES COMMISSION
 DIVISION OF WATER AND AUDITS

February 3, 2016

ADVICE LETTER NO. 1107-A

SUPPLEMENT

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Larkfield District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
8180-W	Schedule No. LK-1 (continued) Larkfield District Tariff Area GENERAL METERED SERVICE	8146-W
8181-W	Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE	New
8182-W	TABLE OF CONTENTS Page 2	8175-W
8183-W	TABLE OF CONTENTS Page 1	8179-W

PURPOSE

This supplemental advice letter addresses issues raised by DWA regarding the inclusion of interest during the recovery period. It also includes an adjustment to commercial paper rates between January to July 2013 as well as an adjustment to the Private Fire Service customer counts.

The purpose of the advice letter 1107 filing was to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Larkfield District in connection with Cal-Am's 2013 General Rate Case ("GRC") in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

BACKGROUND

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the Larkfield district.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.

REQUEST

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account ("CEBA") as a quantity based surcharge effective February 1, 2016. The proposed 3 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up including the Consolidated Expense Balancing Account ("CEBA") for the period January 1, 2015 through November 30, 2015, with interest, is (\$366,612).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 1, 2016.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:

Nancy Hollingsworth
Financial Analyst IIB

E-Mail:

nancy.hollingsworth@amwater.com

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Sarah E. Leeper
*Vice President – Legal,
Regulatory*

sarah.leeper@amwater.com

555 Montgomery Street,
Ste. 816
San Francisco, CA 94111
Fax: (415) 863-0615

ca.rates

ca.rates@amwater.com

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Nancy Hollingsworth

Nancy Hollingsworth
Financial Analyst IIB

³ G.O. 96-B, General Rule 7.4.3

LARKFIELD DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107-A

BY MAIL:

Rami Kahlon
California Public Utilities Commission
Water Advisory Branch, Room 3106
505 Van Ness Avenue
San Francisco, CA 94102-3214

Danilo Sanchez
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue, Room 3200
San Francisco, CA 94102

California Water Service Co
Redwood Valley District
14034 Armstrong Woods Rd
Guerneville 95446

Penngrove/Kenwood Water Co
4984 Sonoma Hwy
Santa Rosa 95409

Mario Gonzalez
111 Marwest Commons circle
Santa Rosa, CA 95403

Susan Sommers
City Of Petaluma
P.O. Box 61
Petaluma, Calif. 94953

City of Rohnert Park
P.O. Box 1489
Rohnert Park, Calif. 94927

City of Santa Rosa
P.O. Box 1668
Santa Rosa, Calif. 95402

Maria D. Duddy
2184 Teakwood Ct.
Hollister, CA 95023

Kathy Melee
P.O. Box 2278
Windsor, CA 95492

James Bajgrowicz
235 Wikiup Meadows Drive
Santa Rosa, CA 95403

BY E-MAIL:

Johnny Yu
5356 Arnica Way
Santa Rosa, CA 95403
johnnyyu@sbcglobal.net

Ms. Lisa Bilir
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue
San Francisco, CA 94102
lwa@cpuc.ca.gov

Richard Rauschmeier
California Public Utilities Commission
DRA - Water Branch, Rm 4209
505 Van Ness Ave
San Francisco, CA 94102
rra@cpuc.ca.gov

James Boulter
Larkfield/Wikiup Water District Advisory
133 Eton Court
Santa Rosa, CA 95403
jboulter@comcast.net

Larkfield/Wikiup Water District Advisory
c/o Lescure Engineers, Inc.
4635 Old Redwood Highway
Santa Rosa, CA 95403
jn@lescure-engineers.com

Dana McRae
County Council
County of Santa Cruz
701 Ocean Street, Room 505
Santa Cruz, CA 95060
dana.mcrae@co.santa-cruz.ca.us

Division of Ratepayer Advocates
California Public Utilities Commission
dra_water_al@cpuc.ca.gov

Tim & Sue Madura
411 Firelight Drive
Santa Rosa, CA 95403
suemadura@sbcglobal.net

A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107-A

BY MAIL:

James M. Boulter
133 Eton Court
Santa Rosa, CA 95403

Shanna Foley
Calif Public Utilities Commission
Legal Division
505 Van Ness Avenue Room 5125
San Francisco, CA 94102-3214

John K. Hawks
California Water Association
601 Van Ness Ave., Ste. 2047, Mc E3-608
San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq
Attorney At Law
Manatt Phelps & Phillips Llp
One Embarcadero Center, 30Th Floor
San Francisco, CA 94111

Lenard G Weiss
Attorney At Law
Manatt, Phelps & Phillips, Llp
One Embarcadero Center, 30Th Floor
San Francisco, CA 94111

Sarah E. Leeper
Vp - Legal, Regulatory
California-American Water Company
333 Hayes Street, Suite 202
San Francisco, CA 94102

Ken Dursa
For Wastewater Equity
Central Coast Coalition Of Communities
145 Harvest Street
Salinas, CA 93901

Bob Mckenzie
Water Issues Consultant
Coalition Of Peninsula Businesses
P.O. Box 223542
Carmel, CA 93922

Richard Rauschmeier
Calif Public Utilities Commission
Water Branch
505 Van Ness Avenue Room 4209
San Francisco, CA 94102-3214

Niki Bawa
Calif Public Utilities Commission
Executive Division
505 Van Ness Avenue Room 5038
San Francisco, CA 94102-3214

Carl Wood
Afl-Cio, Natl Regulatory Affairs Dir.
Utility Workers Union Of America
2021 S. Nevada St
Oceanside, CA 92054

Allison Brown
Calif Public Utilities Commission
Executive Division
505 Van Ness Avenue Room 5206
San Francisco, CA 94102-3214

Case Coordinator
California American Water Company
333 Hayes St., Ste. 202
San Francisco, CA 94102

Celia Hirschman
Co-Founder
California Water Rights Association
1281 Montgomery St. / P.O. Box 1225
Oroville, CA 95965

James R. Riley
Co-Chair
Cccwwe
P.O. Box 7223
Spreckles, CA 93962

Thomas Frutchey
City Manager
City Of Pacific Grove
300 Forest Avenue
Pacific Grove, CA 93950

Frances M. Farina
De Lay & Laredo
389 Princeton Avenue
Santa Barbara, CA 93111-1637

Tony Tully
Calif Public Utilities Commission
Water Branch
505 Van Ness Avenue Room 4209
San Francisco, CA 94102-3214
Jennifer Kalafut
Calif Public Utilities Commission
Executive Division
505 Van Ness Avenue Room 5303
San Francisco, CA 94102-3214
John Reynolds
Calif Public Utilities Commission
Legal Division
505 Van Ness Avenue Room 4107
San Francisco, CA 94102-3214
W. Anthony Colbert
Calif Public Utilities Commission
Division Of Administrative Law Judges
505 Van Ness Avenue Room 5115
San Francisco, CA 94102-3214

Cynthia Russell
California American Water Company
333 Hayes Street, Ste. 202
San Francisco, CA 94102

Stephanie Tousley
Co-Founder
California Water Rights Association
1189 Montgomery St.
Oroville, CA 95965
Arthur Mcloughlin
For Wastewater Equity
Central Coast Coalition Of Communities
9540 S. Century Oak Road
Salinas, CA 93907

Michael Brown
Cleantech Law Partners, Pc
2932 West Oriole Ave.
Visalia, CA 93291

David C. Laredo
Attorney
De Lay & Laredo
606 Forest Avenue
Pacific Grove, CA 93950-4221

A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107-A

Sara Senger
Las Palmas Wastewater Committee
25374 Jasmine Ct.
Salinas, CA 93908

David J. Stoldt
Gen. Mgr.
Monterey Peninsula Water Mngmnt. Dist.
P.O. Box 85

Lloyd Lowrey
Noland Hamerly Etienne & Hoss
P.O. Box 2510
Salinas, CA 93902

Yolanda Lewis
Nat'L. Assn. Of Minority Co., Inc.-Namc
1606 Caino Ruiz No.8-188
San Diego, CA 92126

Mark Brooks
Utility Workers Union Of America
521 Central Ave.
Nashville, TN 37211

Mari Lane
Nossaman Llp
50 California Street, Ste. 3400
San Francisco, CA 94111

James Birkelund
Prsident
Small Busness Utility Advocates
548 Market St., Ste. 11200
San Francisco. CA 94104
Aldrica Lattimore
President And Founder
Nat'L. Assn. Of Minority Companies, Inc.
10606 Camino Ruiz No.8-188

Martin Mattes
Nossaman Llp
50 California Street, Ste. 3400
San Francisco, CA 94111-4799

BY E-MAIL:

Sara Senger
Las Palmas Wastewater Committee
SaraSenger@comcast.net

Shanna Foley
ORA
sf2@cpuc.ca.gov

James M. Boulter
The Mark West Area Community Services
Committee
jboulter@comcast.net

Mark Brooks
Utility Workers Union of America
markbrooks@uwua.net

Frances M. Farina
City of Pacific Grove
Fran@LaredoLaw.net

Lloyd Lowrey
Noland Hamerly Etienne & Hoss
lloyre@nheh.com

Aldrica Lattimore
National Association of Minority
Companies, Inc. (NAMC)
president@namcsd.org

David C. Laredo
City of Pacific Grove/Monterey Peninsula
Water Management District (MPWMD)
dave@laredolaw.net

James Birkelund
Small Business Utility Advocates (SBUA)
james@UtilityAdvocates.org

Joseph O'Conner
Butterfield-Riviera East Community
Association
joeoconnor@earthlink.net

Carl Wood
Utility Workers Union of America
carlwood@uwua.net

Michael Brown
Cleantech Law Partners, PC
MBrown@CleantechLawPartners.com

Bob Mckenzie
Coalition of Peninsula Businesses (CPB)
JRBobMcK@gmail.com

Arthur McLoughlin
Central Coast Coalition of Communities
for Wastewater Equity (CCCCWwE)
mickey3643@aol.com

Sarah E. Leeper
California-American Water Company
sarah.leeper@amwater.com

Martin Mattes
California Water Association (CWA)
mmattes@nossaman.com

Tilden Kim
Richards Watson & Gershon
tkim@rwglaw.com

Yolanda Lewis
National Association of Minority Co.,Inc,-
NAMC
president@namcsd.org

Ken Dursa
Central Coast Coalition of Communitites
for Wastewater Equity (CCCWE)
kdursa@salinas.net

David J. Stoldt
Monterey Peninsula Water Management
District (MPWMD)
DStoldt@mpwmd.net

A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107-A

Thomas Frutchey
City of Pacific Grove
tfrutchey@ci.pg.ca.us

John K. Hawks
California Water Association
jhawks@calwaterassn.com

Mari Lane
Nossaman LLP
mlane@nossaman.com

Celia Hirschman
California Water Rights Association
(CWRA)
CeliaHirschman@gmail.com

Allison Brown
California Public Utilities Commission
(CPUC)
aly@cpuc.ca.gov

Michael Zelazo
California Public Utilities Commission
(CPUC)
mz3@cpuc.ca.gov

Tony Tully
California Public Utilities Commission
(CPUC)
dt1@cpuc.ca.gov

James R. Riley
Central Coast Coalition of Communities
for Wastewater Equity (CCCCWE)
rossriley@aol.com

Lenard G. Weiss
Manatt, Phelps & Phillips, LLP
lweiss@manatt.com

David P. Stephenson
California American Water
dave.stephenson@amwater.com

Stephanie Tousley
California Water Rights Association
(CWRA)
Stephanie.tousley@gmail.com

Jennifer Kalafut
California Public Utilities Commission
(CPUC)
jmk@cpuc.ca.gov

Niki Bawa
California Public Utilities Commission
(CPUC)
nb2@cpuc.ca.gov

W. Anthony Colbert
California Public Utilities Commission
(CPUC)
wac@cpuc.ca.gov

Case Coordinator
California American Water
casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ
Manatt, Phelps & Phillips, LLP
ldolqueist@manatt.com

Sherrene Chew
California American Water
ca.rates@amwater.com

Terence Shia, P.E.
CPUC – DRA
Terence.Shia@cpuc.ca.gov

John Reynolds
California Public Utilities Commission
(CPUC)
jr5@cpuc.ca.gov

Richard Rauschmeier
California Public Utilities Commission
(CPUC)
rra@cpuc.ca.gov

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

SLIP/SUB SHEET

Advice Letter Cover Sheet

Utility Name: California American Water	Date Mailed to Service List: January 27, 2016
District: Larkfield District	
CPUC Utility #: U210W	Protest Deadline (20th Day): February 17, 2016
Advice Letter #: 1107	Review Deadline (30th Day): February 27, 2016
Tier <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> Compliance	Requested Effective Date: February 1, 2016
Authorization D.15-04-007	
Description: 2015 Interim Rate True-Up	Rate Impact: \$See AL See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

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Email: nancy.hollingsworth@amwater.com

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Email: todd.pray@amwater.com

DWA Contact: Tariff Unit
Phone: (415) 703-1133
Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

DATE

STAFF

COMMENTS

APPROVED

WITHDRAWN

REJECTED

Signature: _____

Comments: _____

Date: _____



4701 Deloit Drive P (916)-568-4251
 Sacramento, CA 95838 F (916) 568-4260
 www.amwater.com
FILED
 JAN 27 2016
 PUBLIC UTILITIES COMMISSION
 DIVISION OF WATER AND AUDITS

SUPPLEMENTED BY
A.L. No. 1107-A
P.U.C. Sheet No.
 1107 8180-8183-W

January 27, 2016

ADVICE LETTER NO. 1107 8180-8183-W

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Larkfield District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. LK-1 (continued) Larkfield District Tariff Area GENERAL METERED SERVICE	7968-W
XXXX-W	Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE	New
XXXX-W	TABLE OF CONTENTS Page 2	8150-W
XXXX-W	TABLE OF CONTENTS Page 1	8151-W

PURPOSE

The purpose of this advice letter filing is to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Larkfield District in connection with Cal-Am's 2013 General Rate Case ("GRC") in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

BACKGROUND

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the Larkfield district.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.

REQUEST

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account ("CEBA") as a quantity based surcharge effective February 1, 2016. The proposed 3 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up including the Consolidated Expense Balancing Account ("CEBA") for the period January 1, 2015 through November 30, 2015, with interest, is (\$370,864).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

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Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 1, 2016.

RESPONSE OR PROTEST¹

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A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
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¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

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Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:

Nancy Hollingsworth
Financial Analyst IIB

E-Mail:

nancy.hollingsworth@amwater.com...

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Sarah E. Leeper.....
*Vice President – Legal,
Regulatory*

sarah.leeper@amwater.com

555 Montgomery Street,
Ste. 816
San Francisco, CA 94111
Fax: (415) 863-0615

ca.rates.....

ca.rates@amwater.com

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

³ G.O. 96-B, General Rule 7.4.3

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

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This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Nancy Hollingsworth

Nancy Hollingsworth
Financial Analyst IIB

**LARKFIELD DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107**

BY MAIL:

Rami Kahlon
California Public Utilities Commission
Water Advisory Branch, Room 3106
505 Van Ness Avenue
San Francisco, CA 94102-3214

Danilo Sanchez
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue, Room 3200
San Francisco, CA 94102

California Water Service Co
Redwood Valley District
14034 Armstrong Woods Rd
Guerneville 95446

Penngrove/Kenwood Water Co
4984 Sonoma Hwy
Santa Rosa 95409

Mario Gonzalez
111 Marwest Commons circle
Santa Rosa, CA 95403

Susan Sommers
City Of Petaluma
P.O. Box 61
Petaluma, Calif. 94953

City of Rohnert Park
P.O. Box 1489
Rohnert Park, Calif. 94927

City of Santa Rosa
P.O. Box 1668
Santa Rosa, Calif. 95402

Maria D. Duddy
2184 Teakwood Ct.
Hollister, CA 95023

Kathy Melee
P.O. Box 2278
Windsor, CA 95492

James Bajgrowicz
235 Wikiup Meadows Drive
Santa Rosa, CA 95403

BY E-MAIL:

Johnny Yu
5356 Arnica Way
Santa Rosa, CA 95403
johnnyyu@sbcglobal.net

Ms. Lisa Bilir
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue
San Francisco, CA 94102
lwa@cpuc.ca.gov

Richard Rauschmeier
California Public Utilities Commission
DRA - Water Branch, Rm 4209
505 Van Ness Ave
San Francisco, CA 94102
rra@cpuc.ca.gov

James Boulter
Larkfield/Wikiup Water District Advisory
133 Eton Court
Santa Rosa, CA 95403
jbulter@comcast.net

Larkfield/Wikiup Water District Advisory
c/o Lescure Engineers, Inc.
4635 Old Redwood Highway
Santa Rosa, CA 95403
jn@lescur-engineers.com

Dana McRae
County Council
County of Santa Cruz
701 Ocean Street, Room 505
Santa Cruz, CA 95060
dana.mcrae@co.santa-cruz.ca.us

Division of Ratepayer Advocates
California Public Utilities Commission
dra_water_al@cpuc.ca.gov

Tim & Sue Madura
411 Firelight Drive
Santa Rosa, CA 95403
suemadura@sbcglobal.net

A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107

BY MAIL:

James M. Boulter
133 Eton Court
Santa Rosa, CA 95403

Shanna Foley
Calif Public Utilities Commission
Legal Division
505 Van Ness Avenue Room 5125
San Francisco, CA 94102-3214

John K. Hawks
California Water Association
601 Van Ness Ave., Ste. 2047, Mc E3-608
San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq
Attorney At Law
Manatt Phelps & Phillips Llp
One Embarcadero Center, 30Th Floor
San Francisco, CA 94111

Lenard G Weiss
Attorney At Law
Manatt, Phelps & Phillips, Llp
One Embarcadero Center, 30Th Floor
San Francisco, CA 94111

Sarah E. Leeper
Vp - Legal, Regulatory
California-American Water Company
333 Hayes Street, Suite 202
San Francisco, CA 94102

Ken Dursa
For Wastewater Equity
Central Coast Coalition Of Communities
145 Harvest Street
Salinas, CA 93901

Bob Mckenzie
Water Issues Consultant
Coalition Of Peninsula Businesses
P.O. Box 223542
Carmel, CA 93922

Richard Rauschmeier
Calif Public Utilities Commission
Water Branch
505 Van Ness Avenue Room 4209
San Francisco, CA 94102-3214

Niki Bawa
Calif Public Utilities Commission
Executive Division
505 Van Ness Avenue Room 5038
San Francisco, CA 94102-3214

Carl Wood
Afl-Cio, Natl Regulatory Affairs Dir.
Utility Workers Union Of America
2021 S. Nevada St
Oceanside, CA 92054

Allison Brown
Calif Public Utilities Commission
Executive Division
505 Van Ness Avenue Room 5206
San Francisco, CA 94102-3214

Case Coordinator
California American Water Company
333 Hayes St., Ste. 202
San Francisco, CA 94102

Celia Hirschman
Co-Founder
California Water Rights Association
1281 Montgomery St. / P.O. Box 1225
Oroville, CA 95965

James R. Riley
Co-Chair
Cccwce
P.O. Box 7223
Spreckles, CA 93962

Thomas Frutchey
City Manager
City Of Pacific Grove
300 Forest Avenue
Pacific Grove, CA 93950

Frances M. Farina
De Lay & Laredo
389 Princeton Avenue
Santa Barbara, CA 93111-1637

Tony Tully
Calif Public Utilities Commission
Water Branch
505 Van Ness Avenue Room 4209
San Francisco, CA 94102-3214

Jennifer Kalafut
Calif Public Utilities Commission
Executive Division
505 Van Ness Avenue Room 5303
San Francisco, CA 94102-3214

John Reynolds
Calif Public Utilities Commission
Legal Division
505 Van Ness Avenue Room 4107
San Francisco, CA 94102-3214

W. Anthony Colbert
Calif Public Utilities Commission
Division Of Administrative Law Judges
505 Van Ness Avenue Room 5115
San Francisco, CA 94102-3214

Cynthia Russell
California American Water Company
333 Hayes Street, Ste. 202
San Francisco, CA 94102

Stephanie Tousley
Co-Founder
California Water Rights Association
1189 Montgomery St.
Oroville, CA 95965

Arthur Mcloughlin
For Wastewater Equity
Central Coast Coalition Of Communities
9540 S. Century Oak Road
Salinas, CA 93907

Michael Brown
Cleantech Law Partners, Pc
2932 West Oriole Ave.
Visalia, CA 93291

David C. Laredo
Attorney
De Lay & Laredo
606 Forest Avenue
Pacific Grove, CA 93950-4221

A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107

Sara Senger
Las Palmas Wastewater Committee
25374 Jasmine Ct.
Salinas, CA 93908

David J. Stoldt
Gen. Mgr.
Monterey Peninsula Water Mngmnt. Dist.
P.O. Box 85

Lloyd Lowrey
Noland Hamerly Etienne & Hoss
P.O. Box 2510
Salinas, CA 93902

Yolanda Lewis
Nat'L. Assn. Of Minority Co., Inc.-Namc
1606 Caino Ruiz No.8-188
San Diego, CA 92126

Mark Brooks
Utility Workers Union Of America
521 Central Ave.
Nashville, TN 37211

Mari Lane
Nossaman Llp
50 California Street, Ste. 3400
San Francisco, CA 94111

James Birkelund
President
Small Busness Utility Advocates
548 Market St., Ste. 11200
San Francisco. CA 94104
Aldrica Lattimore
President And Founder
Nat'L. Assn. Of Minority Companies, Inc.
10606 Camino Ruiz No.8-188

Martin Mattes
Nossaman Llp
50 California Street, Ste. 3400
San Francisco, CA 94111-4799

BY E-MAIL:

Sara Senger
Las Palmas Wastewater Committee
SaraSenger@comcast.net

Shanna Foley
ORA
sf2@cpuc.ca.gov

James M. Boulter
The Mark West Area Community Services
Committee
jboulter@comcast.net

Mark Brooks
Utility Workers Union of America
markbrooks@uwua.net

Frances M. Farina
City of Pacific Grove
Fran@LaredoLaw.net

Lloyd Lowrey
Noland Hamerly Etienne & Hoss
lloyd@nheh.com

Aldrica Lattimore
National Association of Minority
Companies, Inc. (NAMC)
president@namcsd.org

David C. Laredo
City of Pacific Grove/Monterey Peninsula
Water Management District (MPWMD)
dave@laredolaw.net

James Birkelund
Small Business Utility Advocates (SBUA)
james@UtilityAdvocates.org

Joseph O'Conner
Butterfield-Riviera East Community
Association
joeoconnor@earthlink.net

Carl Wood
Utility Workers Union of America
carlwood@uwua.net

Michael Brown
Cleantech Law Partners, PC
MBrown@CleantechLawPartners.com

Bob Mckenzie
Coalition of Peninsula Businesses (CPB)
JRBobMcK@gmail.com

Arthur McLoughlin
Central Coast Coalition of Communities
for Wastewater Equity (CCCCWwE)
mickey3643@aol.com

Sarah E. Leeper
California-American Water Company
sarah.leeper@amwater.com

Martin Mattes
California Water Association (CWA)
mmattes@nossaman.com

Tilden Kim
Richards Watson & Gershon
tkim@rwglaw.com

Yolanda Lewis
National Association of Minority Co.,Inc,-
NAMC
president@namcsd.org

Ken Dursa
Central Coast Coalition of Communitites
for Wastewater Equity (CCCWE)
kdursa@salinas.net

David J. Stoldt
Monterey Peninsula Water Management
District (MPWMD)
DStoldt@mpwmd.net

A.13-07-002 SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1107

Thomas Frutchey
City of Pacific Grove
tfrutchey@ci.pg.ca.us

John K. Hawks
California Water Association
jhawks@calwaterassn.com

Mari Lane
Nossaman LLP
mlane@nossaman.com

Celia Hirschman
California Water Rights Association
(CWRA)
CeliaHirschman@gmail.com

Allison Brown
California Public Utilities Commission
(CPUC)
aly@cpuc.ca.gov

Michael Zelazo
California Public Utilities Commission
(CPUC)
mz3@cpuc.ca.gov

Tony Tully
California Public Utilities Commission
(CPUC)
dt1@cpuc.ca.gov

James R. Riley
Central Coast Coalition of Communities
for Wastewater Equity (CCCCWE)
rossriley@aol.com

Lenard G. Weiss
Manatt, Phelps & Phillips, LLP
lweiss@manatt.com

David P. Stephenson
California American Water
dave.stephenson@amwater.com

Stephanie Tousley
California Water Rights Association
(CWRA)
Stephanie.tousley@gmail.com

Jennifer Kalafut
California Public Utilities Commission
(CPUC)
jmk@cpuc.ca.gov

Niki Bawa
California Public Utilities Commission
(CPUC)
nb2@cpuc.ca.gov

W. Anthony Colbert
California Public Utilities Commission
(CPUC)
wac@cpuc.ca.gov

Case Coordinator
California American Water
casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ
Manatt, Phelps & Phillips, LLP
ldolqueist@manatt.com

Sherrene Chew
California American Water
ca.rates@amwater.com

Terence Shia, P.E.
CPUC – DRA
Terence.Shia@cpuc.ca.gov

John Reynolds
California Public Utilities Commission
(CPUC)
jr5@cpuc.ca.gov

Richard Rauschmeier
California Public Utilities Commission
(CPUC)
rra@cpuc.ca.gov

SUPPLEMENT

Schedule No. LK-1 (Continued)
Larkfield District Tariff Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS

General Items:

1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. A surcharge is included on each bill to collect franchise fees and/or business license fees paid to the County of Sonoma. The amount collected is based on a percentage of the gross revenues of each bill. The percentage is as follows: Larkfield Franchise Fee – 1.00%
3. Per Advice Letter 1072-B, a surcharge of \$0.0120 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
4. Per Advice Letter 1095, a surcharge of \$1.86 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
5. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.
 - a. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2014, the net under-collection totals \$592,747 including interest. The surcharge is \$0.0696 per 100 gallons and will remain effective for 36 months beginning April 30, 2015.
6. Per Advice Letter 1107-A, the under-collected balance in the Larkfield District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.043 per 100 gallons over 36 months effective February 1, 2016. The total amount will be recovered from all classes of customers. (C)

(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 1107-A

ISSUED BY

J. LINAM

NAME

(TO BE INSERTED BY C.P.U.C.)

DATE FILED 3-3-2016

EFFECTIVE 2-1-2016

DECISION NO. _____

DIRECTOR – Rates & Regulatory

TITLE

RESOLUTION _____

SUPPLEMENT

Schedule No. CA-4
California American Water
PRIVATE FIRE PROTECTION SERVICE

SPECIAL CONDITIONS APPLICABLE TO DISTRICTS

Per authorized Advice Letter 1107-A, the balance in the following District Consolidated Expense Balancing Account (CEBA) will be recovered or refunded through a Fire Service connection based surcharge or surcredit effective February 1, 2016 for all districts except LA, which is effective February 10, 2016.

(C)

SURCHARGES

Larkfield District

- For each 1 1/2-inch connection
- For each 4-inch connection
- For each 6-inch connection
- For each 8-inch connection
- For each 10-inch connection

Advice Letter
1107-A
1107-A
1107-A
1107-A
1107-A

Duration
36 Months
36 Months
36 Months
36 Months
36 Months

Per Month
\$0.38
\$0.54
\$0.85
\$1.17
\$1.49

(L)
|
(L)

San Diego County District

- For each 4-inch connection or smaller
- For each 6-inch connection
- For each 8-inch connection
- For each 10-inch connection
- For each 12-inch connection

Ventura County District

- For each 4-inch connection or smaller
- For each 6-inch connection
- For each 8-inch connection
- For each 10-inch connection
- For each 12-inch connection

Sacramento District

- For each 4-inch connection or smaller
- For each 6-inch connection
- For each 8-inch connection
- For each 10-inch connection
- For each 12-inch connection

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TITLE

SUPPLEMENT

TABLE OF CONTENTS (Continued)
 (Page 2)

<u>SUBJECT MATTER OF SHEET</u>		<u>C.P.U.C. SHEET NO.</u>
<u>SERVICE AREA MAP (Continued):</u>		
Sacramento		8162-W, 6592-W, 6593-W, 6594-W, 6595-W, 6596-W, 7500-W, 6598-W, 6599-W, 6600-W
Sacramento- Dunnigan		8163-W
San Diego County		6601-W, 6602-W
Ventura County		6603-W, 6604-W
<u>RATE SCHEDULES:</u>		
All Districts -- Low Income Ratepayer Assistance Program		
CA-LIRA	California American Water Low Income Ratepayer Assistance Program	8147-W, 8148-W, 8122-W, 8130-W, 8140-W, 8097-W, 7971-W, 7724-W, 7725-W, 7726-W, 7999-W
CA-4	California American Water Private Fire Protection Service	8149-W, 8098-W, 7739-W, 7740-W, 7741-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, 7636-W, 7640-W, 7643-W, 7632-W, 7634-W, 8181-W
CA-4H	Private Fire Hydrant Service	8124-W, 7743-W, 7744-W, 7745-W, 8002-W, 8022-W, 7626-W, 7641-W (C)
Larkfield District		
LK-1	General Metered Service	8144-W, 8145-W, 8180-W, 7995-W, 8018-W, 7633-W (C)
LK-F	Facilities Fees	7651-W
Los Angeles County District		
LA-1	General Metered Service	8134-W, 8135-W, 8136-W, 8173-W, 8174-W, 7998-W, 8016-W, 7630-W
LA-3M	Measured Irrigation Service	8138-W, 7712, 8139-W, 8000-W, 8017-W, 7631-W
Monterey County District (Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)		
MO-1	General Metered Service Residential & Multi-Residential Customers	8111-W, 7661-W, 7662-W, 7663-W, 7664-W, 8112-W, 7666-W, 7667-W, 7668-W, 7669-W, 7974-W, 7671-W, 8023-W, 7637-W
MO-1C	General Metered Service Non-Residential Customers	8113-W, 7673-W, 7674-W, 7675-W, 7676-W, 7677-W, 8114-W, 7679-W, 7680-W, 7681-W, 7975-W, 7683-W, 8024-W, 7638-W
MO-1O	General Metered Service Other Customers	8115-W, 8116-W, 7686-W, 7687-W, 8117-W, 7689-W, 7690-W, 7976-W, 7692-W, 8025-W, 7639-W

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO. <u>1107-A</u>	<u>J. LINAM</u>	DATE FILED <u>3-3-2016</u>
	NAME	EFFECTIVE <u>2-1-2016</u>
DECISION NO. _____	<u>DIRECTOR – Rates & Regulatory</u>	RESOLUTION _____
	TITLE	

SUPPLEMENT TABLE OF CONTENTS
 (Page 1)

The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	8159-W
TABLE OF CONTENTS	8183-W, 8182-W, 8171-W, 8153-W, (C) 8075-W, 8068-W, 8069-W, 8070-W, 8071-W
PRELIMINARY STATEMENTS	7828-W, 8177-W, 8003-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 8079-W, 7759-W, 7830-W, 7831-W, 7831-W, 7833-W, 7834-W, 7835-W, 7836-W, 7837-W, 7838-W, 7769-W, 7770-W, 7771-W, 7772-W, 7773-W, 7774-W, 7775-W, 7776-W, 7777-W, 7778-W, 7779-W, 7780-W, 7781-W, 7782-W, 7783-W, 7784-W, 7785-W, 7786-W, 7787-W, 7788-W, 7789-W, 7790-W, 7791-W, 7792-W, 7793-W, 7794-W, 7795-W, 7796-W, 7797-W, 7798-W, 7799-W, 7800-W, 7801-W, 7802-W, 7803-W, 7804-W, 7806-W, 8080-W, 8081-W, 8082- W, 8178-W
<u>SERVICE AREA MAP:</u> California-American Water Company	5470-W
Larkfield	6569-W
Los Angeles County	6570-W
Baldwin Hills	6571-W, 6572-W,
Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 6577-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962-W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 7054-W

(Continued)

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